

**HOUSING AUTHORITY OF NEW ORLEANS
BOARD OF COMMISSIONERS
REGULAR MEETING
MAY 21, 2013**

RESOLUTION NO. 2013-23

WHEREAS, The Housing Authority of New Orleans (HANO) and the City of New Orleans were awarded a \$30,500,000 Choice Neighborhoods Initiative (CNI) Implementation Grant, comprised of FY 2010 and FY 2011 funds, by the U.S. Department of Housing and Urban Development (HUD) on August 31, 2011; and

WHEREAS, In order to enhance the public safety component of each of the CNI Implementation grantee's transformation plan, HUD partnered with the U.S. Department of Justice to offer Public Safety Enhancement (PSE) grants to four of the five original CNI Implementation grantees; and

WHEREAS, HANO and the City of New Orleans were notified by HUD on April 26, 2013 that they were awarded a PSE grant for \$480,000 to support technical assistance and the implementation of evidence-based projects designed to combat Part I violent crime, gang activity, and/or illegal drug activity in the CNI New Orleans neighborhood; and

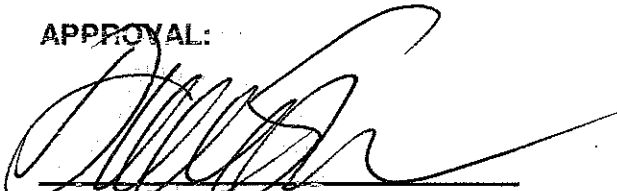
WHEREAS, HANO is required to sign various grant documents and agreements with HUD, including the HUD-1044 form and the PSE Addendum to the CNI Implementation Grant Agreement, in order to accept the PSE grant; and

WHEREAS, the signed grant documents are due to HUD no later than May 28, 2013;

THEREFORE, BE IT RESOLVED, that the Board of Commissioners of the Housing Authority of New Orleans authorizes the HANO Administrative Receiver to execute any and all documents related to the receipt of the \$480,000 Public Safety Enhancement grant, including but not limited to the HUD-1044 form and the Public Safety Enhancement Addendum to the FY2010 and FY2011 Choice Neighborhoods Implementation Grant Agreement.

Executed this 21st day of May, 2013

APPROVAL:



**DAVID GILMORE
ADMINISTRATIVE RECEIVER
CHAIRMAN, BOARD OF COMMISSIONERS**



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, DC 20410-5000

OFFICE OF PUBLIC AND INDIAN HOUSING

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EXECUTIVE OFFICE
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Mr. David Gilmore
Administrative Receiver
Housing Authority of New Orleans
4100 Touro Street
New Orleans, LA 70122-3143

SUBJECT: Transmittal of Public Safety Enhancement Addendum to the FY2010/FY2011
Choice Neighborhoods Implementation Grant Agreement

Dear Mr. Gilmore:

Once again, congratulations on your receipt of the Public Safety Enhancement (PSE) grant funding award. PSE grants fund technical assistance and/or implementation projects that address Part I violent crime, gang activity, and/or illegal drug activity in an identified neighborhood through law enforcement, innovative criminal justice practices, re-entry initiatives, prosecution, courts, corrections, parole and probation, and other community-based programming, and will also improve the effectiveness and efficiency of criminal justice systems, processes, and procedures. PSE funding supports the development of strategies and/or implementation of place-based, evidence-based projects (PSE projects) that are integrated as part of a strategic intergovernmental approach to addressing a neighborhood's violence, gang activity, and/or illegal drug activity. This letter transmits your PSE Addendum to the FY2010/FY2011 Choice Neighborhoods Implementation Grant Agreement.

The Office of Public Housing Investments (OPHI) will administer your grant as part of your FY2010/FY2011 Choice Neighborhoods Implementation Grant. Your Choice Neighborhoods Implementation Team Coordinator will be your primary HUD contact person as you implement your PSE grant and will be available to answer any questions you may have. The main OPHI telephone number is (202) 401-8812 and the fax number is (202) 401-2370 (these are not toll-free numbers). OPHI is located at the following address:

U.S. Department of Housing and Urban Development
Office of Public Housing Investments
451 Seventh Street SW, Room 4130
Washington, DC 20410

The receipt of PSE grant funding does not necessarily mean endorsement of each detail of the plan proposed in your application. Further, as you will see in the PSE Addendum, certain updated information will be required. The OPHI staff will be working with you in the coming months to ensure that your PSE plans and deliverables are fully developed, maximally effective, and legally and financially sound.

PSE Addendum

Enclosed is one copy of your PSE Addendum to the FY2010/FY2011 Choice Neighborhoods Implementation Grant Agreement. This document memorializes the agreements

made between you and your Co-Grantees (if any), as the Grantees, and HUD, and incorporates all documents relating to the PSE grant, including the Public Safety Enhancement Grant Opportunity document (issued November 3, 2011), your PSE proposal, and all subsequent documents.

In order to proceed with the processing of your PSE Addendum to the FY2010/FY2011 Choice Neighborhoods Implementation Grant Agreement, please do the following:

1. Obtain a Board Resolution authorizing the Lead Grantee's Executive Director/executive officer to sign all three HUD-1044 forms (titled "Assistance Award/Amendment") for the PSE grant funds.
2. The Executive Director/executive officer of the Lead Grantee signs and dates each of the three HUD-1044 forms in block 19 of the form.
3. The Executive Director/executive officer for both the Lead Grantee and any Co-Grantee(s) (if any) must sign the signature page in the PSE Addendum (see Article XVIII). The signatures of the Executive Director/executive officer of the Lead Grantee and the Executive Director/executive officer of any Co-Grantee(s) should be provided on the same signature page (not separate signature pages).
4. Return the signed PSE Addendum, including the 1044s and a copy of the Board Resolution, to Ms. Pamela Lawrence, (202) 402-5484, at the address provided earlier in this letter by no later than **May 28, 2013**. (Note: A copy of the code of conduct for the Lead Grantee and any Co-Grantee normally is required. However, since the code of conduct was provided to HUD when the CNI Grant Agreement was executed, it is not necessary to provide it again.)

Once the PSE Addendum and copy of the Board Resolution are received by HUD, the Assistant Secretary for Public and Indian Housing will sign and date the final signature block on the signature page of the PSE Addendum (Article XVIII), which will be the effective date of the PSE Addendum. The original will be kept by the Department and an executed copy will be returned to you to keep in your records and administer accordingly.

Public Safety Enhancement Guidance

Your Choice Neighborhoods Team Coordinator will be your primary source of guidance and information about your PSE Technical Assistance and/or Project Implementation grant. For your reference, information about accessing LOCCS, PSE budget information, procurement, and other technical areas will be available on the Choice Neighborhoods web site (www.hud.gov/cn).

Drawdown of Funds and PSE Deliverables

Once your PSE Addendum has been executed, you may request HUD to approve the release of funds. As noted in Article II of the PSE Addendum, the **final PSE budget** is due to HUD by **June 17, 2013**. Eligible costs for reimbursement include those incurred between the written notification of grant award and execution of your PSE Addendum. The official written notification date of your grant award is February 11, 2013, the date of your award letter. See Article VI in the PSE Addendum for information on PSE Budget and Funding Requests. As noted in Article VI, you must use the Choice Neighborhoods Budget form for Implementation Grants, Parts I and II (the Budget form, HUD-53236). Part II must include a detailed description of the uses of the funds.

Once you have completed your final PSE budget, sign and date it and submit it to your Team Coordinator for review. When the budget request is approved, your Team Coordinator

will return a signed copy to you for your files and will have the approved funds spread in LOCCS. At that point, they will be available for drawdown.

Additionally, the **final PSE project timeline** is due to HUD by **June 17, 2013**. Please carefully review all of the PSE deliverables as listed in Article II of the PSE Addendum.

Authorization in LOCCS

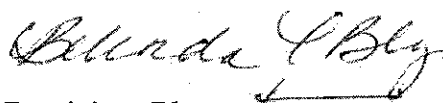
Since your organization already has the FY2010/FY2011 Choice Neighborhoods Implementation grant, you should already have the necessary access in LOCCS. However, if you want to have different staff members be authorized for PSE than were authorized for CNI, then you would need to revisit the LOCCS authorization process. In such cases, please refer to "Grantee Financial Instructions," which are posted on the Choice Neighborhoods website and which provides detailed information about LOCCS access, banking information, and completion of the Choice Neighborhoods voucher.

Expenditure of PSE Funds

In accordance with the PSE Addendum, all Public Safety Enhancement Technical Assistance funds must be expended by December 31, 2014 and Public Safety Enhancement Project Implementation funds must be expended by December 31, 2016.

Again, congratulations. Proposals for this first PSE grant were responsive to local needs, and we look forward to your accomplishment. Please extend my congratulations to your team. We look forward to working jointly with you and your partners in carrying out the public safety transformation strategies benefitting severely distressed public and assisted housing, and we thank you for your participation in the Public Safety Enhancement Initiative.

Sincerely,



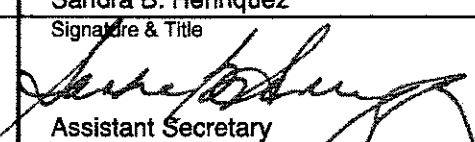
Dominique Blom
Deputy Assistant Secretary
for Public Housing Investments

Assistance Award/Amendment

**U.S. Department of Housing
and Urban Development
Office of Administration**

| | | | |
|---|--|---|--------------------------------------|
| 1. Assistance Instrument <input type="checkbox"/> Cooperative Agreement <input checked="" type="checkbox"/> Grant | | 2. Type of Action <input checked="" type="checkbox"/> Award <input type="checkbox"/> Amendment | |
| 3. Instrument Number LA6H001CNS112 | 4. Amendment Number | 5. Effective Date of this Action | 6. Control Number |
| 7. Name and Address of Recipient Housing Authority of New Orleans 4100 Touro Street New Orleans, LA 70122-3143 | | 8. HUD Administering Office Office of Public Housing Investments Public and Indian Housing | |
| | | 8a. Name of Administrator Dominique Blom | 8b. Telephone Number 202-401-8812 |
| 10. Recipient Project Manager | | 9. HUD Government Technical Representative | |
| 11. Assistance Arrangement <input checked="" type="checkbox"/> Cost Reimbursement <input type="checkbox"/> Cost Sharing <input type="checkbox"/> Fixed Price | 12. Payment Method <input type="checkbox"/> Treasury Check Reimbursement <input type="checkbox"/> Advance Check <input checked="" type="checkbox"/> Automated Clearinghouse | 13. HUD Payment Office | |
| 14. Assistance Amount | | 15. HUD Accounting and Appropriation Data | |
| Previous HUD Amount | \$ | 15a. Appropriation Number | 15b. Reservation number |
| HUD Amount this action | \$480,000 | | |
| Total HUD Amount | \$480,000 | Amount Previously Obligated | \$ |
| Recipient Amount | \$ | Obligation by this action | \$480,000 |
| Total Instrument Amount | \$480,000 | Total Obligation | \$480,000 |

16. Description
Public Safety Enhancement Grant
(to enhance FY10/11 Choice Neighborhoods Implementation Grant)
Public and/or Assisted Housing Site: Iberville Housing Development
Neighborhood: Iberville/Treme
Located In: New Orleans, LA

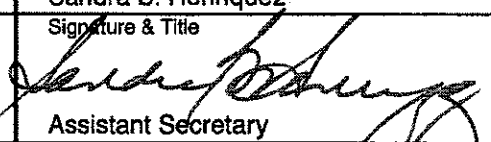
| | | | |
|--|-------------------|---|-------------------|
| 17. <input checked="" type="checkbox"/> Recipient is required to sign and return three (3) copies of this document to the HUD Administering Office | | 18. <input type="checkbox"/> Recipient is not required to sign this document. | |
| 19. Recipient (By Name) David Gilmore | | 20. HUD (By Name) Sandra B. Henriquez | |
| Signature & Title | Date (mm/dd/yyyy) | Signature & Title | Date (mm/dd/yyyy) |
| Administrative Receiver | |  Assistant Secretary | 02/15/2013 |

Assistance Award/Amendment

**U.S. Department of Housing
and Urban Development
Office of Administration**

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Neighborhood: Iberville/Treme
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| Signature & Title | Date (mm/dd/yyyy) | Signature & Title | Date (mm/dd/yyyy) |
| Administrative Receiver | |  Assistant Secretary | 02/15/2013 |

Assistance Award/Amendment

**U.S. Department of Housing
and Urban Development
Office of Administration**

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| 19. Recipient (By Name) David Gilmore | | 20. HUD (By Name) Sandra B. Henriquez | |
| Signature & Title | Date (mm/dd/yyyy) | Signature & Title | Date (mm/dd/yyyy) |
| Administrative Receiver | | Assistant Secretary | 02/15/2013 |

**Public Safety Enhancement Addendum to the
FY2010 and FY2011 Choice Neighborhoods Implementation Grant Agreement**

TABLE OF CONTENTS

| | |
|--|----|
| ARTICLE I. Overview..... | 2 |
| ARTICLE II. General Program Requirements | 4 |
| ARTICLE III. PSE Technical Assistance Requirements..... | 5 |
| ARTICLE IV. PSE Project Implementation Requirements..... | 7 |
| ARTICLE V. Changes to PSE | 9 |
| ARTICLE VI. Budget and Funding Requests..... | 9 |
| ARTICLE VII. Project Drawdowns | 10 |
| ARTICLE VIII. Match and Leverage Funds | 10 |
| ARTICLE IX. Subgrantees and Contractors..... | 11 |
| ARTICLE X. No Third Party Rights..... | 11 |
| ARTICLE XI. Conflict of Interest | 11 |
| ARTICLE XII. PSE Reporting Requirements | 11 |
| ARTICLE XIII. Other Technical Assistance..... | 12 |
| ARTICLE XIV. Unsatisfactory Performance/Default | 12 |
| ARTICLE XV. Project Close Out | 12 |
| ARTICLE XVI. Grant Award Date, Funding Obligation Date, Date of Funding Availability and Effective Date | 12 |
| ARTICLE XVII. Points of Contact | 13 |
| ARTICLE XVIII. Signature Page | 14 |

This Public Safety Enhancement (PSE) Addendum to the FY2010 and FY2011 Choice Neighborhoods Implementation (CNI) Grant Agreement (Grant Agreement) between the Grantee and HUD states the requirements of the Grantee in exchange for PSE grant award funds as described herein. The additions that are being made to the Grant Agreement comply with the federal Interagency Agreement between HUD and the Department of Justice (DOJ), which was executed on September 27, 2011. DOJ's Office of Justice Programs, Bureau of Justice Assistance made available \$2,000,000 in funding to HUD's Office of Public and Indian Housing, Office of Public Housing Investments to support an interagency Choice Neighborhoods/Public Safety collaborative. DOJ funding is made available to HUD under the authority 28 U.S.C. § 530C, 42 U.S.C. § 3788, and Public Law 111-117. HUD is authorized to receive these funds under the authority of the provisions of Section 502(c) of the Housing Act of 1948, 12 U.S.C. § 1701c, and Public Law 111-117, 28 U.S.C. § 530C and 42 U.S.C. § 3788.

All grant funds under this PSE Addendum must be expended by **December 31, 2016**.

The effective date of this PSE Addendum is the date that HUD signs the signature page of the PSE Addendum (See Articles XVII and XVIII). This PSE Addendum and the form HUD-1044 for the PSE funds are part of the CNI Grant Agreement and, as such, the CNI Grant Agreement requirements apply to the Grantees.

ARTICLE I. Program Overview

Recognizing that interconnected solutions are needed in order to resolve the interconnected problems existing in high-poverty neighborhoods, the White House Neighborhood Revitalization Initiative is developing a new approach to neighborhood revitalization to better support community-based initiatives that produce significant benefits for distressed neighborhoods as well as surrounding areas.

In conjunction with this approach, a federal Interagency Agreement between HUD and DOJ was executed on September 27, 2011 where DOJ's Office of Justice Programs, Bureau of Justice Assistance made available \$2,000,000 in funding to HUD's Office of Public and Indian Housing, Office of Public Housing Investments to support an interagency Choice Neighborhoods/Public Safety collaborative.

This PSE funding has been awarded to the five existing HUD FY 2010/2011 CNI Grantees as a public safety supplement to the revitalization strategies in their neighborhood. Funds will be awarded in one of two ways:

- PSE Funds for Technical Assistance
- PSE Funds for Technical Assistance and Project Implementation

The PSE grant will provide FY2010/2011 CNI Grantees with technical assistance and/or coordinated federal support in the implementation of comprehensive place-based strategies designed to effectively respond to public safety concerns associated with **Part I violent crimes** (homicide and non-negligent manslaughter, robbery, rape, and aggravated assault), **gang**

activity, and/or illegal drug activity. Comprehensive place-based strategies will include youth and adult initiatives involving prevention, intervention, enforcement, and reentry of offenders back into communities upon release from prison and jail.

Specifically, this PSE funding supports FY 2010/2011 CNI Grantees in the development/enhancement of public safety strategies and/or implementation of plans that align with local leadership in implementing and sustaining innovative solutions that incorporate evidence and research into local program and policy decisions to address and reduce persistent crime.

The PSE initiative aims to prevent, control, and reduce Part I violent crime, gang activity, and/or illegal drug activity in distressed communities by funding evidence-based strategies that are aligned with the following goals and objectives:

Goal 1: Support evidence-based strategies designed to reduce violent crime, gang activity, and/or illegal drug activity in distressed communities.

Objectives:

- Reduce Part I violent crimes with an emphasis on firearm-related offenses.
- Increase the rate of arrests for violent and firearm-related crimes.
- Maximize law enforcement efforts to interdict drug production and distribution.
- Support collaborative efforts for demand-reduction solutions (e.g., drug courts).
- Enhance strategies to reduce gang-related crime.
- Support federal-local collaborative law enforcement efforts that lead to the disruption or break-up long-entrenched gangs.

Goal 2: Increase the use of placed-based, evidence-based strategies focused on stabilizing and revitalizing distressed communities.

Objectives:

- Support effective prevention and intervention efforts focused on mitigating the factors influencing involvement in gang activity.
- Promote collaborative efforts in neighborhood restoration and economic development initiatives.
- Support community-based public safety initiatives to build neighborhood support and mobilize efforts against crime.
- Using valid, evidence-based risk and needs assessment instruments, link offenders to individually tailored neighborhood-based services (e.g., job training, drug treatment, safety planning, mental health counseling).
- Increase the number of neighborhood citizens and housing residents feeling safe in their neighborhood.

ARTICLE II. General Program Requirements

- A. Grantees should ensure that their CNI Transformation Plan integrates the PSE activities. As was provided in the PSE Grant Opportunity document:
1. PSE funding supports the development of strategies and/or implementation of place-based, evidence-based projects (PSE projects) that are integrated as part of a strategic intergovernmental approach in addressing an identified service area's (neighborhood) violence, gang activity, and/or illegal drug activity.
 2. PSE grants fund technical assistance and/or implementation projects that address Part I violent crime, gang activity, and/or illegal drug activity in an identified neighborhood through law enforcement, innovative criminal justice practices, re-entry initiatives, prosecution, courts, corrections, parole and probation, and other community-based programming, and will also improve the effectiveness and efficiency of criminal justice systems, processes, and procedures.
 3. PSE technical assistance funds support the development or enhancement of public safety strategies and/or support the implementation of projects under one of two categories:
 - a. "Strategic Enhancements," which are evidence-based programs that have been proven to decrease violence, gang activity, and illegal drug activity; or
 - b. "Practice Innovations," which are strategies, policies, service practices, or activities that may have already been put into practice but research has not documented effectiveness conclusively in the area of violence, gang activity, and/or illegal drug activity.
- B. Additional statutory, regulatory, and other requirements with which Grantee must comply, as applicable, include:
1. Prohibition of use of funds for ACORN and its subsidiaries: Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform NOW (ACORN) or its subsidiaries, without the express prior written approval of HUD/OJP.
 2. As a condition of receiving funding from HUD/OJP, recipients must comply with Title IX of the Education Amendments of 1972, 20 U.S.C. § 1681-1688.
- C. **Neighborhood:** The targeted area should be the same neighborhood that is targeted by the CNI grant.
- D. **PSE Deliverables:** The Grantee provided a PSE proposal that responded to the requirements in the PSE Grant Opportunity document. Now that PSE grant awards have been made, Grantees must provide the following deliverables in accordance with the timeframes listed.
1. Final Budget – due to HUD by **June 17, 2013** for HUD approval. This applies to the **five** Grantees listed in Article III. See Article VI for additional information on budget and funding requests.

2. Final Project Timeline – due to HUD by **June 17, 2013** for HUD approval. This applies to the **five** Grantees listed in Article III.
3. Technical Assistance Plan – due to HUD by **September 3, 2013** for HUD approval prior to the grantee contracting with the Technical Assistance Contractor. This applies to the **five** Grantees listed in Article III. Also see Article III for additional information on the TA Plan.
4. Final Project Implementation Plan – due to HUD by **December 31, 2013** for HUD approval. This applies to the **four** Grantees listed in Article IV.
 - a. Interim Objectives Performance Plan – due to HUD at time of submittal of Final Project Implementation Plan. (The Interim Objectives Performance Plan is a sub-section of the Final Project Implementation Plan).
 - b. Also see Article IV for additional information on the Final Project Implementation Plan.
5. PSE Sustainability Plan – due to HUD for approval one year before the end of the PSE grant term, no later than **December 31, 2015**. This applies to the **four** Grantees listed in Article IV. See Article IV for additional information on the PSE Sustainability Plan.
6. Reporting deliverables are listed by name here. See Article XII for additional information on reporting deliverable and requirements.
 - a. PSE Quarterly Reports
 - b. PSE Annual Narrative Reports
 - c. PSE Interim Objective Performance Reports
 - d. Ensure Transformation Plan final report includes PSE

ARTICLE III. PSE Technical Assistance Requirements

- A. The following five FY10/11 CNI Grantees are receiving PSE funds (\$80,000 from each PSE Grant) for Technical Assistance and, thus, are subject to the requirements provided in this Article:
 1. City of Boston and Dorchester Bay Economic Development Corporation, Boston, Massachusetts
 2. Preservation of Affordable Housing Inc. (POAH) and City of Chicago, Chicago, Illinois
 3. Housing Authority of New Orleans (HANO) and City of New Orleans, New Orleans, Louisiana
 4. McCormack Baron Salazar and San Francisco Housing Authority, San Francisco, California
 5. Housing Authority of the City of Seattle, Seattle, Washington
- B. The technical assistance (Technical Assistance Contractor) must be locally procured by the Grantee according to the applicable state or local procurement requirements.
- C. **Eligible PSE Technical Assistance Activities:** The five Grantees listed above in this Article can use the PSE Technical Assistance funds only for the following activity:

1. **Technical Assistance.** PSE grant Technical Assistance funds may not be budgeted to exceed \$80,000 and may only be used to support contract procurement for technical assistance focused on the development/enhancement of public safety strategies which will lead to the successful implementation of comprehensive place-based strategies.

D. **Ineligible PSE Technical Assistance Activities:** Grantees cannot use the PSE funds for the following:

1. **Supplanting.** Using PSE grant funds to supplant previously committed funding, including CNI funds, is prohibited. Funds must be used to supplement existing funds for program activities and cannot replace or supplant funds that have been dedicated for the same purpose.
2. **Reimbursement Before Grant Award Date.** You may not use PSE Grant funds to pay for any activities carried out on or before the date of the letter announcing the award of the PSE Grant (February 11, 2013).

E. **Technical Assistance Plan.** As noted elsewhere in the Addendum, the grantee must submit and obtain HUD approval of a Technical Assistance Plan, which is due to HUD by **September 1, 2013** for HUD approval prior to the grantee contracting with the Technical Assistance Contractor. The Grantee will not be approved to access the TA funds until the TA Plan has been approved. Note: HUD will provide to Grantees separate guidance on what must be submitted in the TA Plan but components would include a timeline; public safety data; inventory of local public safety efforts, opportunities, and stakeholders; and public safety strategy.

F. After HUD has approved your TA Plan and after you have procured the Technical Assistance Contractor, the Technical Assistance Contractor shall provide the following:

1. One-on-one consultation, small group sessions, in-person meetings and conference participation, and support to accessing crime data and evidence-based solutions. Technical Assistance will support the development and/or enhancement of strategies leading to the successful implementation of comprehensive place-based strategies designed to effectively respond to public safety concerns associated with **Part I violent crimes** (homicide and non-negligent manslaughter, robbery, rape, and aggravated assault), **gang activity, and/or illegal drug activity** in CNI sites. Further, the technical assistance will support Grantees in: developing public safety plans that align with local leadership and resources; implementing and sustaining innovative solutions that incorporate evidence and research into local program and policy decisions to address and reduce persistent crime; and measuring impact.
2. Continuous, dedicated strategies and quality resources to build the capacity of the CNI Grantees to ensure successful implementation of plans.
3. Coordinate training and technical assistance efforts with other Federal agencies (as part of the White House Neighborhood Revitalization Initiative).

G. All PSE technical assistance funds must be expended by **December 31, 2014**.

ARTICLE IV. PSE Project Implementation Requirements

- A. The following four FY10/11 CNI Grantees are receiving PSE funds for Project Implementation and, thus, are subject to the requirements provided in this Article:
1. City of Boston and Dorchester Bay Economic Development Corporation, Boston, Massachusetts
 2. Preservation of Affordable Housing Inc. (POAH) and City of Chicago, Chicago, Illinois
 3. Housing Authority of New Orleans (HANO) and City of New Orleans, New Orleans, Louisiana
 4. McCormack Baron Salazar and San Francisco Housing Authority, San Francisco, California
- B. **Eligible PSE Project Implementation Activities:** The four Grantees listed above in this Article can use the PSE Project Implementation funds only for the following activities:
1. **Administration.** The Grantee may use up to an aggregate of 10 percent of the PSE grant funds for administrative expenses, which include but are not limited to space, utilities, and personnel not directly involved in the procurement of technical assistance and implementation of a PSE plan.
 2. **Travel and Training.** PSE grant funds may be budgeted for training expenses and/or travel to federally-sponsored conferences and training that support the development of comprehensive place-based strategies designed to effectively respond to public safety concerns associated with Part I violent crime, gang activity, and/or illegal drug activity. The Grantee agrees to use its best efforts to attend any training and to accept any technical assistance provided or sponsored by HUD. HUD and DOJ will provide access to free training and technical assistance.
 3. **Law Enforcement Costs.** Law enforcement overtime pay in direct support of an identified evidence-based project is an allowable expense. (PSE grant funds are not allowable for law enforcement base pay, purchase of patrol cars, vehicle maintenance (gas, oil, tires, and general repairs), and standard police issued equipment/clothing such as uniforms, guns, ammunition, etc.).
 4. **Equipment Purchases.** Equipment purchases identified in the budget must be justified in the budget by explaining why the purchase is necessary for the implementation of the criminal justice strategy. Specifically, the budget should identify the following related to equipment purchases:
 - a. The applicable evidence-based project served by the equipment;
 - b. What purpose does the equipment serve (describe the impact the purchase will have on achieving the desired outcome of the evidence-based project OR describe the task conducted with the equipment in fulfilling the goal and/or objective of the evidence-based project); and
 - c. The gap in service or lack of resources that necessitates the use of grant funds for this purchase.
- C. **Ineligible PSE Project Implementation Activities:** Grantees cannot use the PSE funds for the following:

1. **Supplanting.** Using PSE grant funds to supplant previously committed funding, including CNI funds, is prohibited. Funds must be used to supplement existing funds for program activities and cannot replace or supplant funds that have been dedicated for the same purpose.
2. **Reimbursement Before Grant Award Date.** You may not use PSE Grant funds to pay for any activities carried out on or before the date of the letter announcing the award of the PSE Grant (February 11, 2013).
3. **Ineligible Law Enforcement Activities.** PSE grant funds are not allowable for law enforcement base pay, purchase of patrol cars, vehicle maintenance (gas, oil, tires, and general repairs), and standard police issued equipment/clothing such as uniforms, guns, ammunition, etc.

D. **Final Project Implementation Plan.** As noted elsewhere in the Addendum, the grantees listed in this Article must submit and obtain HUD approval of a Final Project Implementation Plan, which is due by December 31, 2013. The Final Project Implementation Plan is an updated and/or revised version of the PSE Proposal that was submitted to HUD in response to the PSE Grant Opportunity document.

1. Interim Objectives Performance Plan – due to HUD at time of submittal of Final Project Implementation Plan. (The Interim Objectives Performance Plan is a subsection of the Final Project Implementation Plan).

E. **Sustainability Plan:** Grantees that are funded for Project Implementation (the four grantees listed above in this Article) must each develop a PSE Sustainability Plan for after the PSE grant funds are gone. The Grantee shall submit its PSE Sustainability Plan to HUD for approval one year before the end of the PSE grant term, no later than **December 31, 2015.**

1. Note: HUD will provide separate guidance on what must be submitted in the PSE Sustainability Plan. However, at a minimum, the Sustainability Plan would include the following:
 - a. Project title;
 - b. Sustainability plan description, including proposed outcomes
 - c. Agency or organization that will assume management and funding responsibility for the PSE project;
 - d. Projected sources and uses (costs) for each year (minimum of 2 years) after the PSE grant has ended;
 - e. Plans to ensure the sustainment of the project until the desired outcomes have been achieved. Demonstration that the strategic leveraging of Federal, state, local, private, and/or tribal funding streams are sufficient to achieve the desired PSE outcomes;
 - f. Plans to include any collaborative efforts that must be maintained to ensure the continued implementation of the PSE project;
 - g. Plans for innovative approaches that will be utilized to maximize project impact and cost-effectiveness;
 - h. Identify when the project will be fully funded by sources other than the PSE grant and by what means this will occur.

- F. All PSE project implementation funds must be expended by **December 31, 2016**.

ARTICLE V. Changes to the PSE Proposal

The Grantee should follow the requirements in the Article V of the CNI Grant Agreement relating to changes to the Transformation Plan when requesting changes to the PSE Proposal.

ARTICLE VI. PSE Budget and Funding Requests

- A. All awards are subject to any modifications or additional requirements that may be imposed by law.
- B. Funds have been awarded to FY 2010/2011 CNI grantees in support of technical assistance and/or the implementation of evidence-based projects designed to combat Part I violent crime, gang activity, and/or illegal drug activity in a specific neighborhood. HUD and DOJ recognize this level of funding will not cover all of the resources required to transform and revitalize an area experiencing persistent high levels of serious violent crime and social/economic decay. Thus, it is imperative that grantees use PSE grant funds in concert with a variety of leveraged neighborhood resources that are being strategically redeployed to address identified problems/programs in order to enhance overall impact and fill initial programmatic funding gaps. Grantees must coordinate this initiative with their CNI activities as part of an integrated, comprehensive approach to addressing the neighborhood's crime and social problem(s). The strategic leveraging of federal, state and local funding streams must be sufficient to ensure strategic implementation and sustainability. A match is required (see below).
- C. **Amount and Length of Awards:** A total of \$2,000,000 in PSE grant funds have been awarded, of which \$400,000 is allocated for PSE technical assistance and \$1.6 million for PSE project implementation. FY2010/FY2011 CNI Grantees have been awarded \$80,000 each for technical assistance. Approval of the Technical Assistance Plan will establish technical assistance fund access. Four (4) CNI Grantees have been awarded \$400,000 to implement public safety enhancement activities.
- D. All PSE technical assistance funds must be expended by **December 31, 2014** and all PSE project implementation funds must be expended by **December 31, 2016**.
- E. **Match/Leverage Requirement:** See Article VIII below.
- F. **Held Funds for PSE Project Implementation Funds.** This applies to the four grantees listed in Article IV. Half of the Project Implementation funds, \$200,000, will be available for drawdown once the Final Budget and Final Timeline are approved by HUD. The second half, \$200,000, will be held until the Grantee achieves the Interim Objectives, as submitted to and approved by HUD (as part of the Interim Objectives

Performance Plan, which is part of the Final Project Implementation Plan), as well as providing documentation supporting the additional 20 percent leverage/match required by the Grants Opportunity document. The Interim Objectives should be based on local needs and the proposed project. Should the Grantee fail to meet the Interim Objectives or document the match/leverage, HUD has the right to retain the remaining \$200,000, which will be redistributed to FY 2010/2011 CNI grantees.

1. The following documentation must be submitted for HUD approval in order to generate access to the PSE Project Implementation held funds:
 - a. Interim Objective Performance Report (due at time of request for held funds; format to be provided by HUD);
 - b. Documentation (e.g., letter of commitment, MOU, etc.) supporting the additional 20 percent match/leverage (due at time of request for held funds). The match/leverage can be cash and/or in-kind and must be public safety related. Documentation can be from the original CNI Round 2 application or can be new. Documentation should demonstrate the dollar amount and that the resource is firmly committed.
- G. Budget Form. Grantees must use the Choice Neighborhoods Implementation Budget Form (HUD-53236). Note: HUD will provide additional PSE budget guidance to grantees.
- H. Budget Revisions. If it is necessary to revise the Budget after the Final Budget has been submitted in accordance with Article II, the Grantee must submit a written request for a budget revision which includes a revised budget form and justification for the revision.

ARTICLE VII. Project Drawdowns

PSE funds will be available through LOCCS under a separate grant number from the CNI Grant funds. The Grantee shall follow Article VII (A), (B), and (C) of the CNI Grant Agreement for Project Drawdowns with the exception of VII(B)(3) (which stated: "The final 10 percent of the budgeted PSE administrative grant funds will not be held back in LOCCS until HUD approves the completion of the Transformation Plan as described in Article III.").

ARTICLE VIII. Matching and Leveraged Funds

- A. The following are the match/leverage requirements for the PSE Project Implementation grant funds and, thus, apply to the four grantees listed in Article IV:
1. All Grantees were required to have a 10 percent (\$40,000) in-kind or cash match/leverage at time of proposal submittal. Match must have been public safety related and could have been previously committed during the CNI Round 2 application. Match/leverage must have been firmly committed.
 2. All Grantees are required to match/leverage and firmly secure an additional 20 percent (\$80,000) of in-kind and/or cash resources to generate access to the \$200,000 funds that will be held back and made available upon the successful

achievement of the Interim Objectives and provision of documentation of this 20 percent match/leverage, as required in Article VI above. Match/leverage must be public safety related. It may have been previously committed during the CNI Round 2 application or may be new.

ARTICLE IX. Subgrantees and Contractors

Grantees shall follow Article IX of the CNI Grant Agreement.

ARTICLE X. No Third Party Rights

Grantees shall follow Article X of the CNI Grant Agreement.

ARTICLE XI. Conflict of Interest

Grantees shall follow Article XI of the CNI Grant Agreement.

ARTICLE XII. PSE Reporting Requirements

This article applies to all Grantees unless otherwise noted below.

A. Quarterly Report.

1. The Grantee will submit to HUD a Quarterly Report, as prescribed by HUD, 15 calendar days after the end of each quarter. The PSE Quarterly Report will be part of the Grantee's Quarterly Report for its FY2010/2011 Choice Neighborhoods Implementation Grant. The Quarterly Report will include PSE metrics, to be identified by HUD, and a narrative statement on PSE progress. The Grantee should also include, as appropriate, best practices and lessons learned from the date of the prior Quarterly Report. The PSE Quarterly Reports must be submitted until the PSE grant funds are expended.
2. Failure to submit to HUD a timely PSE Quarterly Report will result in a suspension of PSE Grant funds in LOCCS until such time as the report is received and approved by HUD, and/or any other default remedy authorized by Article XIV.

B. Obligations and Expenditures. The Grantee must enter cumulative obligation and expenditure data into LOCCS by the due dates established by HUD, whether or not there has been any change in the cumulative amounts since the end of the last quarter.

- C. **Additional Information Requests.** Subject to paragraph (D) of this Article, the Grantee will comply with all other reporting requirements from time to time established by HUD, in its sole discretion, in connection with the PSE Program. The Grantee will:
1. fully cooperate with all reasonable information gathering requests made by HUD or contractors of HUD in the course of authorized evaluations of the PSE Program;
 2. submit a PSE Annual Narrative Report, the form and substance of which will be specified by HUD and will be due 45 calendar days after December 31;
 3. (only for the four Grantees receiving PSE funds for Project Implementation, as listed in Article IV) submit an Interim Objective Performance Report when requesting the held Project Implementation funds, as required in Article VI;
 4. ensure that their final Transformation Plan report, as required by Article XII of the CNI Grant Agreement, includes the PSE activities.
- D. **Additional Requirements.** The Grantee agrees to comply with all other terms and conditions HUD may establish to administer, monitor, or evaluate the PSE Program in an effective and efficient manner. Notwithstanding the foregoing, however, except as provided in Article XIV, HUD hereafter will not establish any additional terms and conditions without:
1. consideration of the burden imposed on the Grantee by such conditions or requirements;
 2. consideration of the availability of less burdensome conditions or requirements; and
 3. in the case of a term or condition applicable solely to the Grantee, consulting in advance with the Grantee.

ARTICLE XIII. Other Technical Assistance

PSE technical assistance funds must expended by December 31, 2014. HUD and DOJ will provide additional technical assistance to Grantees to the extent possible in order to help them implement their PSE activities.

ARTICLE XIV. Unsatisfactory Performance/Default

Grantees shall follow Article XIV of the CNI Grant Agreement.

ARTICLE XV. Project Close Out

Grantees shall follow Article XV of the CNI Grant Agreement.

ARTICLE XVI. Grant Award Date, Funding Obligation Date, Date of Funding Availability and Effective Date

The PSE Grant Award Date is February 11, 2013. The date of obligation of the funding to the Grantee under this PSE Addendum to the CNI Grant Agreement is the date HUD signed the form HUD-1044 for the PSE funds. The date of fund availability for this PSE Addendum is the date that the Lead Grantee signs the 1044. The **effective date** of the PSE Addendum is the date that HUD signs the signature page of the PSE Addendum (See Article XVIII).

ARTICLE XVII. Points of Contact

Any correspondence related to this PSE Addendum should be directed to the following points of contact for HUD, the Lead Grantee, and any other Grantees:

For the U.S. Department of Housing and Urban Development:

Dominique Blom
Deputy Assistant Secretary, Office of Public Housing Investments
U.S. Department of Housing and Urban Development
451 Seventh Street, SW Room 4130
Washington, D.C. 20410

For the Lead Grantee:

David Gilmore
Administrative Receiver
Housing Authority of New Orleans
4100 Touro Street
New Orleans, LA 70122-3143

For the co-Grantee(s):

Mitchell Landrieu
Mayor
City of New Orleans
1300 Perdido Street
New Orleans, LA 70112

ARTICLE XVIII. Signature Page

David Gilmore
Administrative Receiver
Housing Authority of New Orleans

Mitchell Landrieu
Mayor
City of New Orleans

Sandra B. Henriquez
Assistant Secretary, Public and Indian Housing
U.S. Department of Housing and Urban Development

Date



May 21, 2013

MEMORANDUM

**To: David Gilmore
Board of Commissioners**

**From: Maggie Merrill
Senior Advisor to the Administrative Receiver**

**Re: Approval for Execution of All Documents Related to the Award of the
Public Safety Enhancement Grant Added to the HUD Choice
Neighborhoods Initiative Implementation Grant**

The Housing Authority of New Orleans (HANO) and the City of New Orleans were awarded a \$30,500,000 Choice Neighborhoods Initiative (CNI) Implementation Grant, comprised of FY 2010 and FY 2011 funds, by the U.S. Department of Housing and Urban Development (HUD) on August 31, 2011. In order to enhance the public safety component of each of the CNI Implementation grantee's transformation plan, HUD partnered with the U.S. Department of Justice to offer Public Safety Enhancement (PSE) grants to four of the five original CNI Implementation grantees. HANO and the City of New Orleans have been awarded a PSE grant for \$480,000 to support technical assistance and the implementation of evidence-based projects designed to combat Part I violent crime, gang activity, and/or illegal drug activity in the CNI New Orleans neighborhood.

In order to accept the PSE grant, HANO must sign various grant documents and agreements with HUD, including the HUD-1044 form and the PSE Addendum to the CNI Implementation Grant Agreement. These signed grant documents are due to HUD no later than May 28, 2013. The Board is hereby requested to approve and authorize HANO's Administrative Receiver to execute any and all documents related to the receipt of the \$480,000 Public Safety Enhancement grant, including but not limited to the HUD-1044 form and the Public Safety Enhancement Addendum to the FY2010 and FY2011 Choice Neighborhoods Implementation Grant Agreement.